

PATENT

Customer Number: 22,852 Attorney Docket No. 03204.0063

IN THE UNITED STATES PA	ATENT AND TRADEMARK C	FB(	동	RE	
In re Application of:	<b>)</b> *	0 MAIL	11		
Joseph A. GIORDANO	) Group Art Unit: 2768	IL RO	2000	EIVED	
Application No.: 09/505,721	) Examiner: Unassigned	ROOM			erry.
Filed: February 17, 2000	)				
For: SYSTEM AND METHOD FOR PROCESSING FINANCIAL TRANSACTIONS	) ) ) )				
Assistant Commissioner for Patents Washington, DC 20231					
Sir:					

#### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicant brings to the attention of the Examiner the documents listed on the attached PTO 1449. This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application.

Copies of the listed documents, including any copending patent applications, are attached. English-language Abstracts are provided for the following documents:

- 1. JP 4024153
- 2. FR 2600318
- 3. EP 0 696 021 A2 4. EP 0 723 241 A1
- 5. EP 0 887 776 A1
- 6. EP 0 907 134 A1

LAW OFFICES
FINNEGAN, HENDERSON,
FARABOW, CARRETT,
8 DUNNER, L. L. P.
1300 I STREET, N. W.
WASHINGTON, DC 20005
202-408-4000

Attorney Docket No. 03204.0063 Serial No. 09/505,721

Applicant respectfully requests that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and Applicant determines that the cited documents do not constitute "prior art" under United States law, Applicant reserves the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.

Dated: November 13, 2000

Leonard Smith, Jr.

Reg. No. 45,118

EAW OFFICES
FINNEGAN, HENDERSON,
FARABOW, CARRETT,
8 DUNNER, L. L. P.
1300 I STREET, N. W.
WASHINGTON, DC 20005
202-408-4000

Atty. Docket No.	03204.0063			Serial No. 09/	/505,721	Page	1 of 5		
Applicant	Joseph A. GIORDA	NO					J. 7.	\	
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Examiner Initial*	Document Number	Date		Name	Class	Sub Class	Filing Bute		
	3,536,109	12/18/67	Gins	burgh et al.		X		2	
	3,527,268	07/26/67	Gins	burg			3 7	H	
	3,602,881	08/31/71	Bayn	e et al.			21	<u> </u>	
	3,639,894	02/01/72	Tana	ka			700A	J	
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	3,931,497	01/06/76	Gent	ile et al.					
	3,952,285	04/20/76	Flack	, Jr.					
	4,075,632	02/21/78	Bald	win et al.					
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	4,278,977	07/14/81	Noss	en					
	4,313,168	01/26/82	Stepl	nens et al.					
	4,325,146	04/13/82	Lenn	ington					
	4,333,072	06/01/82	Beig	el					
	4,335,426	06/15/82	Max	well et al.					
	4,338,587	07/06/82	Chia	ppetti					
	4,361,837	11/30/82	Malii	nowski et al.					
	4,395,627	07/26/83	Bark	er et al.					
	4,398,172	08/09/83	Carro	oll et al.					
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-	4,614,945	09/30/86	Brun	ius et al.		1	-		
	4,658,371	04/14/87	Wals	h et al.			TC 2		
	4,691,202	09/01/87	Denr	ne et al.			RECEIVED NOVILL 2000		
	4,739,328	04/19/88	Koel	le et al.			HA E		
	4,799,059	01/17/89	Grine	Grindahl et al.			VEC 2000		
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	4,862,162	08/29/89	Daley						
	4,864,615	09/05/89	Bennett et al.						
	4,881,581	11/21/89	Hollerback						
	4,882,779	11/21/89	Raht	gen					
	4,912,471	03/27/90	Tybu	rski et al.					
	4,918,955	04/24/90	Kimı	ıra et al.			0		
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	5,047,613	09/10/91	Sweg	gen et al.					
	5,124,697	06/23/92	Moo	re					
	5,144,312	09/01/92	McC	ann					
	5,144,667	09/01/92	Pogu	e, Jr. et al.					
	5,156,198	10/20/92	Hall						
	5,164,985	11/17/92	Nyse	n et al.					
	5,214,409	05/25/93	Beig	el					
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	5,310,999	05/10/94	Clau	s et al.					
	5,321,753	06/14/94	Gritt	on			7		
	5,327,066	07/05/94	Smit	h			TC 2		
	5,340,969	08/23/94	Cox				RE NOV 700		
	5,349,332	09/20/94	Ferg	uson et al.			CEI 14 Ma		
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	5,369,706	11/29/94	Latk	a					
	5,377,270	12/27/94	Kooj	oman, Jr. et al.					
	5,383,500	01/24/95	Dwa	rs et al.					
	5,422,624	06/06/95	Smit	h					
	5,450,492	09/12/95	Hool	c et al.					
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	5,482,139	01/09/96	Riva	lto					
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	5,493,315	05/20/96	Atch	ley					
	5,499,181	03/12/96	Smit	h					
	5,508,705	04/16/96	Spie	SS					
	5,552,789	09/03/96	Schu	ermann					
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	5,557,529	09/17/96	War	n et al.					
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		5,602,745	02/11/97	At	chley et al.		3.	1
		5,602,919	02/11/97	Ηι	rta et al.			D)
		5,605,182	02/25/97	Ot	errecht et al.			REC NOV
		5,612,890	0/3/18/97	Str	asser et al.			HA F
		5,628,351	05/13/97	Ra	msey, Jr. et al.			RECEIVED
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		5,638,875	06/17/97	Co	rfitsen		24	
		5,644,119	07/01/97	Pa	dula et al.			
		5,648,767	07/15/97	O,	Connor et al.			
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	П	09/224,027	12/31/98		-			
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		Document Number	Date		Country	Class	Sub Class	Translation Yes or No
	П	WO 94/16396	07/21/94		PCT			
	П	WO 95/32919	12/07/95		PCT			
	П	WO 96/28789	09/19/96		PCT			
	П	WO 96/28791	09/19/96		PCT			
		WO 97/21626	06/19/97		PCT			
		OTHER DOCU	MENTS (Includ	ng A	uthor, Title, Date,	Pertinent P	ages, Etc.)	
		Quinlan, "Radio Ta	ags - The New 1d	entif	ers," Handling & Sl	hipping Man	agement, v.	28, pp. 90-93 (1985)
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	WO 97/24689	97/10/97	PCT		-			
	WO 98/10363	03/12/98	PCT		į.	7		
	WO 98/38601	09/03/98	PCT			Ν		
	WO 98/55952	12/10/98	PCT			NOV RE		
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	WO 99/41717	08/19/99	PCT			VED 2000 L ROOM		
	WO 00/46101	09/10/00	PCT		746	60		
	WO 00/07121	02/10/00	PCT		E	(4)		
	WO 00/52655	09/08/00	PCT					
	JP 04024153	01/28/92	Japan			Yes		
	FR 2600318	06/18/86	France			Yes		
	EP 0 696 021 A2	02/07/96	EPO			Yes		
	EP 0 723 241 A1	07/24/96	EPO			Yes		
	EP 0 725 376 A2	08/07/96	EPO					
	EP 0 887 776 A1	12/30/98	EPO			Yes		
	EP 0 907 134 A1	04/07/99	EPO			Yes		
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	3,536,109	12/18/67	Ginsburgh et al.			
	3,527,268	07/26/67	Ginsburg		1	
	3,602,881	08/31/71	Bayne et al.		1	70
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	3,641,569	02/08/72	Bushnell et al.			5 8 F
	3,642,036	02/15/72	Ginsburgh et al.			RECEIVED NOV 14 2000 700 MAIL ROOM
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	3,952,285	04/20/76	Flack, Jr.	1		
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-	4,313,168	01/26/82	Stephens et al.			
	4,325,146	04/13/82	Lennington	1		
T.	4,333,072	06/01/82	Beigel	<b>†</b>		
	4,335,426	06/15/82	Maxwell et al.			
	4,338,587	07/06/82	Chiappetti			
	4,361,837	11/30/82	Malinowski et al.			,
	4,395,627	07/26/83	Barker et al.	1		-
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	4,614,945	09/30/86	Bruni	us et al.			
	4,658,371	04/14/87	Wals	h et al.			
	4,691,202	09/01/87	Denn	e et al.		:	
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	4,799,059	01/17/89	Grind	lahl et al.			RE MOI 700
	4,804,961	02/14/89	Hane				RECEIVE HOV 14 20
	4,829,540	05/09/89	Wagg	ener. Sr. et al.			/VEC
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	5,124,697	06/23/92	Moore				
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		5,310,999	05/10/94	Claus	et al.				
		5,321,753	06/14/94	Gritte	on				
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		5,340,969	08/23/94	Cox					
	T	5,349,332	09/20/94	Fergu	ison et al.		-	:	70
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		5,351,052	09/27/94	D'Ho	nt et al.				o PE
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		5,383,500	01/24/95	Dwar	s et al.				
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		5,453,747	09/26/95	D'Ho	nt et al.				
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		5,499,181	03/12/96	Smith					
		5,508,705	04/16/96	Spies					
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		5,602,745	02/11/97	At	chley et al.			REI NOV 2700
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		5,638,875	06/17/97	6/17/97 Corf				
		5,644,119	07/01/97	7/01/97 Pade				
		5,648,767	07/15/97	07/15/97 O'C				
		08/768,723	12/18/96					
		09/224,027	12/31/98					
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		Document Number	Date		Country	Class	Sub Class	Translation Yes or No
		WO 94/16396	07/21/94		PCT			
		WO 95/32919	12/07/95		PCT			
		WO 96/28789	09/19/96		PCT			
	T	WO 96/28791	09/19/96		PCT			
		WO 97/21626	06/19/97		PCT			
		OTHER DOCU	MENTS (Includi	ng A	uthor, Title, Date,	Pertinent P	ages, Etc.)	
		Quinlan, "Radio Ta	ags - The New 1de	ntifi	ers," Handling & Sh	ipping Man	agement, v.	28, pp. 90-93 (1985)
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		WO 98/38601	09/03/98	PCT			NON PE
		WO 98/55952	12/10/98	PCT			O MA
	T	WO 99/12116	03/11/99	PCT			VE 20
	T	WO 99/41717	08/19/99	PCT	<u> </u>		/ED
	T	WO 00/46101	09/10/00	PCT		1	3
	T	WO 00/07121	02/10/00	PCT		8.3	
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	Т	JP 04024153	01/28/92	Japan			Yes
	T	FR 2600318	06/18/86	France			Yes
	T	EP 0 696 021 A2	02/07/96	EPO			Yes
	T	EP 0 723 241 A1	07/24/96	EPO			Yes
	T	EP 0 725 376 A2	08/07/96	EPO			
		EP 0 887 776 A1	12/30/98	EPO			Yes
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Examiner		/Clement Grah	am/	Date Considered	03/3	30/2008	
*Examiner:	Initia citati appli	ion if not in conformar	red, whether or no nce and not consid	t citation is in conformation in the conformation is in conformation in the conformati	ance with M this form wi	PEP 609; o	draw line through nmunication to
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